COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

G/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/22/2001 10/017,680

Mark Lucovsky

3070

CONFIRMATION NO. 9548

FORMALITIES LETTER

OC000000007341015*

MICHALIK & WYLIE PLLC Suite 103 14645 Bel-Red Road Bellevue, WA 98007

Date Mailed: 01/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$54.
 - \$54 for 3 total claims over 20.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 924.

요요요 37/03/2002 RMEBRAHT 00000054 10017680 A copy of this notice <u>MUST</u> be returned with the reply. Customer Service Center Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE





PATENT Attorney Docket No. 3070

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LUCOVSKY et al.

Group Art Unit: 2176

Serial No. 10/017,680

Examiner: Unassigned

Filed: October 22, 2001

For:

Schema-Based Services for Identity-

Based Data Access

Assistant Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated January 22, 2002. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$740.00, additional claim fees in the amount of \$54.00, an Executed Declaration of inventors, Petition for Three Month Extension of Time, a copy of the Notice to File Missing Parts of Application, and a Credit Card Payment form.

The total fee due is:

Basic Filing Fee \$740.00
Additional Claim Fees \$54.00
Petition for 3 Month Extension \$920.00
Surcharge \$130.00

Total Fee Due:

\$1,844.00

In re Application of LUCOVSKY et al. Serial No. 10/017,680

- The Patent Office is hereby authorized to charge the credit card number on the attached Credit Card Payment form the sum of \$1,844.00.
- The Patent Office is hereby authorized to charge any underpayments or credit any overpayments associated with this submission to Deposit Account No. 50-1618.

Respectfully submitted,

Albert S. Michalik, Reg. No. 37,395 Law Offices of Albert S. Michalik, PLLC

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CERTIFICATE OF MAILING

I hereby certify that this Response, Credit Card Payment Form, Executed Declaration of Inventors, Copy of Notice To File Missing Parts, Petition for Three Month Extension of Time, and return postcard are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: June 24, 2002

Albert S. Michalik

3070 Missing Parts Response